UOB KAY HIAN SECURITIES (M) SDN BHD (194990-K)

A Participating Organisation of Bursa Malaysia Securities Berhad A Trading Participant of Bursa Malaysia Derivatives Berhad **UOBKayHian**

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RISK DISCLOSURE STATEMENT

- 1. This statement is provided to the prospective clients and existing clients ("clients") in accordance with the directive of the Bursa Malaysia Securities Berhad.
- 2. The purpose of this statement is to inform the clients that the risk of loss in trading in securities and/or derivatives can be substantial. The client should therefore assess if the trading in securities and/or derivative is suitable for him in light of his financial circumstances.
- 3. In deciding whether to trade in securities and/or derivatives, the clients should be aware of the following:
 - Trading in securities and/or derivatives is subject to the risk of losing all the money invested in securities and/ or derivatives including all transaction costs;
 - In order to realise any value from securities and/or derivatives invested, it is necessary to sell and/or close off the securities invested and the derivatives open positions respectively which may become difficult to sell due to low liquidity, securities being suspended or delisted. As for the case of derivative products, the contracts may be expired; and
 - Placing of contingent orders, such as "stop-loss" or "stop-limit" orders, will not necessarily limit the client's losses to the intended amount. Market conditions may not make it possible to execute such orders; and
- 4. This brief statement cannot disclose all the risks and other aspects of trading in securities and/or derivatives. The Applicant should therefore carefully study the terms and conditions of any investment products before he decides to transact or invest.
- 5. If the client is in doubt in relation to any aspect of this statement, he should consult his Dealers Representative.